

Attorney Docket No.: A-70197/RMS/BTC/DLF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SHIEH et al.

Serial No. 09/438,600

Filed: November 12, 1999

For: BIOCHANNEL ASSAY FOR

HYBRIDIZATION WITH

BIOMATERIAL

Examiner: PHAM, M. RECEIVED

OCT 1 2 2001

Group Art Unit: 1641

CERTIFICATE OF MAILING

TECH CENTER 1600/2900

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231

on:

Dated:

Signed:

Christine P. Peters

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND STATEMENT OF RELATEDNESS

Assistant Commissioner for Patents Washington, DC 20231

Sir:

MATCH & RETURN

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicant wishes to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO-1449. Copies of the references are enclosed.

Further, in accordance with the provisions of 37 C.F.R. §§ 1.97(c) and 1.97(e)(1), the undersigned certifies that the references listed on the enclosed form PTO-1449 were cited in the International Search Report dated July 24, 2001, for a counterpart PCT application. As such, the filing of the instant Information Disclosure Statement is within three months of the date of that International Search Report and, therefore, need not be accompanied by the fee as

1062872 - 1 -

set forth in 37 C.F.R. § 1.17(p). A copy of the International Search Report for the counterpart PCT application is enclosed herewith.

With respect to patent applications, the applicants point out their duty under M.P.E.P. §2001.06(b) to disclose relevant patent applications of which they are aware. To this end, the applicants draw the Examiner's attention to the following patent applications;

- 1. United States Serial Number 09/397,691, filed September 16, 1999.
- 2. United States Serial Number 08/743,798, filed November 5, 1996; U.S.S.N. 08/873,978, filed June 12, 1997; U.S.S.N. 08/899,510, July 24, 1997; U.S.S.N. 08/911,085, filed August 14, 1997, now Patent Number 6,090,933; U.S.S.N. 09/557,577, filed April 21, 2000; and U.S.S.N. 09/577,429, filed May 22, 2000.
- 3. U.S.S.N. 08/873,597, filed June 12, 1997; U.S.S.N. 08/911,589, filed August 14, 1997; and U.S.S.N. 09/660,374, filed September 12, 2000.
 - 4. U.S.S.N. 09/096,593, filed June 12, 1998.
- 5. U.S.S.N. 08/873,977, filed June 12, 1997, now Patent Number 6,013,459; 09/096,504, filed June 12, 1998, now Patent Number 6,013,170;10; U.S.S.N. 09/417,988, filed October 13, 1999.
 - 6. U.S.S.N. 09/397,957, filed September 17, 1999.
 - 7. U.S.S.N. 09/014,304, filed January 27, 1998, now Patent Number 6,063,573.
 - 8. U.S.S.N. 09/135,183, filed August 17, 1998.
- 9. U.S.S.N. 09/306,653, filed May 6, 1999 and U.S.S.N. 09/452,277, filed February 3, 1999.

- 10. U.S.S.N. 09/134,058, filed August 14, 1998; U.S.S.N. 09/338,726, filed June 23, 1999; U.S.S.N. 09/440,371, filed November 12, 1999; U.S.S.N. 09/520,477, filed March 8, 2000; and U.S.S.N. 09/712,792, filed November 13, 2000.
 - 11. U.S.S.N. 09/428,155, filed October 27, 1999.
 - 12. U.S.S.N. 09/295,691, filed April 21, 1999.
 - 13. U.S.S.N. 09/489,459, filed January 21, 2000.
 - 14. U.S.S.N. 09/472,657, filed December 27, 1999.
- 15. U.S.S.N. 09/238,351, filed January 27, 1999 and 09/621,275 filed July 20, 2000.
 - 16. U.S.S.N. 09/245,105, filed January 27, 1999.
 - 17. U.S.S.N. 09/626,096, filed July 26, 2000.
 - 18. U.S.S.N. 09/760,384, filed January 11, 2001.
- 19. U.S.S.N. 08/166,036, filed December 10, 1993, now Patent Number 5,591,578, U.S.S.N. 08/475,051, filed June 7, 1995, now Patent Number 5,824,473, U.S.S.N. 08/660,534, filed June 7, 1995, now Patent Number 5,770,369, U.S.S.N. 08/659,987, filed June 7, 1996, now abandoned, U.S.S.N. 08/709,265, filed September 6, 1996, now Patent Number 5,705,348; U.S.S.N. 08/709,263, filed September 6, 1996, now Patent Number 5,780,235; U.S.S.N. 08/873,598, filed June 12, 1997, now Patent Number 5,952,172; U.S.S.N. 08/946,679, filed October 8, 1997, now Patent Number 6,087,100; U.S.S.N. 09/100,507, filed June 19, 1998, now Patent Number 6,071,699; U.S.S.N. 09/306,749, filed May 7, 1999; U.S.S.N. 09/306,737, filed May 7, 1999; U.S.S.N. 09/306,768, filed May 7, 1999; U.S.S.N. 09/454,498, filed December 6, 1999; U.S.S.N. 09/459,751, filed December 10, 1999; U.S.S.N. 09/459,191, filed December 10, 1999, now Patent Number 6,180,352;

U.S.S.N. 09/454,497, filed December 6, 1999; U.S.S.N. 09/458,187, filed December 8, 1999; U.S.S.N. 09/545,227, filed April 7, 2000; and U.S.S.N. 09/602,618, filed June 22, 2000.

- 20. U.S.S.N. 08/808,750, filed February 28, 1997, issue fee paid; and U.S.S.N. 09/639,311, filed August 15, 2000.
- 21. U.S.S.N. 08/312,388, filed September 26, 1994, now Patent Number 5,620,850; U.S.S.N. 08/786,187, filed January 21, 1997; U.S.S.N. 09/296,111, filed April 21, 1999; and 09/296,078, filed April 21, 1999.
- 22. U.S.S.N. 08/786,153, filed January 21, 1997, now abandoned; U.S.S.N. 08/804,883, filed February 24, 1997, now abandoned; and U.S.S.N. 08/843,623, filed April 10, 1997.
- 23. U.S.S.N. 09/235,081, filed January 21, 1999 and U.S.S.N. 09/337,086, filed June 21, 1999.

None of the foregoing references are believed to disclose the invention as claimed.

Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

Although no fee is believed to be due, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our Order No. A-70197/RMS/BTC/DLR).

1062872 - 4 -

Respectfully submitted,

FLEHR, HOHBACH, TEST, ALBRITTON & HERBERT

Dated: 9/28/01

Robin M. Silva Reg. No. 38,304

Four Embarcadero Center Suite 3400 San Francisco, CA 94111-4187 Telephone: (415) 781-1989